

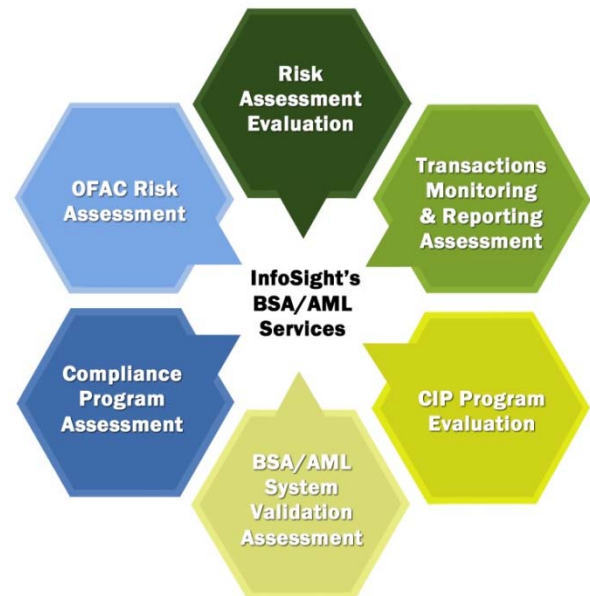
Bank Secrecy Act (BSA) Anti-Money Laundering (AML) Compliance

BSA/AML Compliance

Our information security risk management programs help organizations to mitigate the risks related to their critical systems and company data.

BSA/AML regulations are intended to safeguard the U.S. financial system and financial institutions from the abuses of financial crime, including money laundering, terrorist financing, and other illicit financial transactions.

With expert knowledge in BSA/AML regulations, we help you develop a comprehensive BSA/AML compliance program. All financial institutions must now develop, implement, and maintain an effective BSA/AML program that addresses the changing strategies of money launderers and terrorists.



Our BSA/AML Services include:

- **BSA/AML Risk Assessment** – Evaluation of the Risk Assessment against requirements (required as part of the overall BSA/AML compliance program).
- **OFAC Risk Assessment** - Assessment of OFAC risks and controls in place.
- **Compliance Program Assessment** – Evaluation of all program components that include all of the above as well as internal controls, independent testing, the assignment of responsibilities, period training.
- **System Validation Assessment** – Evaluation of the processing integrity and security of the BSA system, based upon its configuration.
- **Customer Identification Program (CIP)** – Evaluation of the CIP program (required as part of the overall BSA/AML compliance program)
- **Reportable Transactions Monitoring and Reporting Assessment** – Evaluation of the completeness, timeliness, and accuracy of SARs, CTRs, and CTR exemptions reporting. Results of this assessment can also drive opportunities for a BSA validation assessment as defined below (required as part of the overall BSA/AML compliance program).

Based upon your risk profile, we can work with you to design and execute your BSA/AML Risk Assessment which drives the content of your program. We offer BSA/AML support and can provide assurance that your system is secure, has processing integrity, and is configured to appropriately identify suspicious transactions.

Our BSA/AML support services provide compliance support in one or more of the following areas:

- Compliance program independent testing support.
- Deficiency remediation support.
- Look-back support services after legal action has been taken against the institution.
- Managed services for suspicious activity alert, logging, and review.